

**State Water Infrastructure Authority
July 13-14, 2022 Meeting
Agenda Item E – 2022 Update on and Designation of Systems as Distressed**

Division of Water Infrastructure Staff Report

Background

Session Law 2020-79, entitled in part “An Act to Improve the Viability of the Water and Wastewater Systems of Certain Units of Local Government...”, authorizes the State Water Infrastructure Authority (Authority) and Local Government Commission (Commission or LGC) to develop criteria to assess and review local government units (LGUs), and to utilize the assessment and review process to identify distressed units.

In the Fall of 2020, the Authority and Commission developed the Identification Criteria and Assessment Criteria to evaluate local government units and identify distressed units. The Authority adopted the Assessment Criteria and the Identification Criteria at its meeting on November 18, 2020.

Identification Criteria

The Authority and Commission adopted the following Identification Criteria to be used to identify distressed units:

1. An LGU whose fiscal affairs are under the control of the LGC pursuant to its authority granted by G.S. 159-181 (“under LGC fiscal control”), or
2. An LGU that has not submitted its annual audits for the last two (2) fiscal years to the LGC as required by G.S. 159-34, or
3. An LGU with a total Assessment Criteria score that:
 - a) Equals or exceeds 9 for LGUs providing both drinking water and wastewater services, or
 - b) Equals or exceeds 8 for LGUs providing only one service, either drinking water or wastewater, or
4. An LGU for which other information is available to or known by the Authority or Commission that reflects and is consistent with, but does not expressly appear in, the Assessment Criteria to account for situations in which the Assessment Criteria score does not wholly or accurately reflect a system’s level of risk due to the limitations of available data.

Initial Assessment and Distressed Designations

In late 2020 and early 2021, Division and Commission staff compiled LGU data in accordance with the approved criteria to identify distressed LGUs. Initially, 119 LGUs were identified as

potentially distressed based on the approved criteria. Based on additional review and information received from LGUs, some of the 119 were determined to not be distressed or to no longer have active systems, 18 LGUs had their potential designations as distressed put on hold for further review, and the remainder were officially designated as distressed by the Authority and Commission.

Based on this initial assessment, 93 LGUs with active water and/or wastewater systems were designated as distressed, excluding three LGUs that were previously designated and no longer had active water or sewer utilities. Once designated, LGUs must meet the requirements of the Viable Utility program before the designation is removed, even if future assessment scores drop below the threshold for designation.

Reassessment Update

At the Authority's April 13-14, 2022 meeting, staff presented and the Authority approved a slate of new distressed designations based on the Spring 2022 reassessment. This included eleven (11) LGUs that had not submitted their annual audits for the past two fiscal years (which are automatically designated upon Commission approval) as well as twelve (12) LGUs that were put "on-hold" at their request after the initial assessment, and which scored above the threshold upon reassessment in 2022. The Commission approved the same slate of distressed designations during their April 26, 2022 special meeting.

Subsequent to approval of the designations based on the 2022 reassessment, Division and Commission staff discovered an error in the reassessment calculations whereby outdated financial data had been used for some scoring criteria, resulting in inaccuracies in the designations approved in April. Accordingly, Division staff reran the reassessment calculations using the correct dataset, including audited 2021 financial data that had been received by the Commission prior to the deadline for submission to be included in the annual reassessment (March 1, 2022). The results of the recalculated reassessment are provided in Attachments E-1 and E-2 which replace Attachments J-2 and J-3 from the April Authority meeting.

Upon recalculation of the reassessment scores, staff determined that three (3) of the twelve (12) units which were designated in May scored below the designation threshold using the corrected data: Beaufort County, Edgecombe County, and Granite Falls.

On-Hold LGU	Type of System	2022 Score (corrected)	2022 Score (original)	2021 Score
Beaufort County	DW	7	8	9
Edgecombe County	BOTH	8	9	13
Granite Falls	BOTH	6	9	9

Upon review of their letters requesting that their designation be put on hold after the initial assessment in 2021, and their current assessment scores, staff confirmed that Beaufort County and Granite Falls should not be considered distressed units. However, Edgecombe County's

score – which was above the threshold in 2021, but below the threshold based on the 2022 reassessment recalculation – was influenced by several missing assessment data inputs because their FY21 audit data had not been received by the Commission in time for the original Spring 2022 reassessment. If this data had been used in the reassessment, Edgecombe County would have scored well above the designation threshold with a score of 12 (reference Attachment E-4). Upon further review, staff also noted that Edgecombe County had several other characteristics that warrant consideration for distressed designation; specifically:

- The County has been actively leading and facilitating regionalization efforts throughout the county including efforts to address water and wastewater issues in the Town of Princeville, the Town of Speed, and unincorporated areas in the County. These efforts align with the intent of Session Law 2020-79 to improve the viability of water and wastewater systems of local governments.
- In April and May, the Authority and Commission respectively approved the award of a \$1,982,800 VUR grant to Edgecombe County to replace an existing loan for a project to replace and expand a booster pump station based on the County's designation as distressed by the Authority and Commission.
- The County suffered a significant loss to their community and county tax base following the tragic fire at the QVC distribution facility in December 2021, the impacts of which are not yet reflected in their financial information.
- The County has expressed interest in being designated as distressed under the Viable Utilities program (see attached Letter of Support from Edgecombe County, Attachment E-3).

Recalculation of the reassessment scores also resulted in changes to the list of LGUs exceeding the designation threshold for the first time, expanding the list from 23 units to 32 units, per Table 1 below. As previously discussed with the Authority, notifications to first-year LGUs are handled by staff without any official action required by the Authority or Commission. Note that first-year exceedance letters were sent after the 2022 assessment scores were corrected, so only the 32 units correctly identified as scoring above the threshold received notification letters. The scoring status of first-year LGUs are summarized below (green font means the unit was added to the list, red font means the unit was removed from the list based on the corrected scores and was never formally notified as being above the designation threshold).

Table 1. Recalculation of Reassessment Scores	
Corrected List of First-Year Threshold Exceedances (32)	Original Reassessment (23)
Albemarle	Albemarle
Ayden	
	Benson

Table 1. Recalculation of Reassessment Scores	
Corrected List of First-Year Threshold Exceedances (32)	Original Reassessment (23)
Beulaville	Beulaville
	Brevard
Bridgeton	
Brunswick	
Bryson City	Bryson City
Burke County	Burke County
	Chocowinity
Columbia	
Denton	
	Fallston
Farmville	Farmville
Grover	Grover
Harmony	
Hobgood	Hobgood
Jamesville	
Lake Lure	Lake Lure
Lansing	
Lexington	
	Lake Santeetlah
Liberty	Liberty
McDowell County	McDowell County
	Milton
Ocracoke Sanitary District	Ocracoke Sanitary District
Parkton	
Perquimans County	Perquimans County
Pittsboro	
Polkton	Polkton
Princeton	
Proctorville	Proctorville
Ranlo	

Table 1. Recalculation of Reassessment Scores	
Corrected List of First-Year Threshold Exceedances (32)	Original Reassessment (23)
Red Springs	
	River Bend
Roper	
Rosman	
Saratoga	
	Stanley
Taylorsville	
	Waynesville

Requests for Designation by “First-Year” LGUs

LGUs exceeding the designation threshold for the first year have the option of requesting designation by the Authority and Commission instead of waiting for a second year of assessment. Division staff have sent notification letters to the 32 first-year LGUs in the table above, and requested notification of the unit’s interest in becoming designated before July 1, 2022. Staff is requiring that units interested in designation provide a Board resolution requesting designation before bringing potential actions to the Authority and Commission so that LGUs and their boards understand the commitment they are making if they become designated. As of this staff report, a total of six (6) units have formally requested designation and provided appropriate resolutions. An additional eleven (11) units have indicated that they are interested in designation but did not have time to pass a resolution prior to the July Commission and Authority meetings. Staff will continue to collect resolutions and will bring those respective LGUs forth for consideration for designation by the Authority and Commission in September.

Division and Commission staff, with the assistance of the Southeast Rural Communities Development Project (SERCAP), have been working with the Town of Love Valley on its long-standing challenges providing reliable and compliant community wastewater service to its residents. Through the process of providing this support, staff discovered that Love Valley had never been assessed, despite owning and managing a small wastewater system. Accordingly, Love Valley has been added to the master list of assessed units and scored above the designation threshold in their first assessment following the Spring 2022 reassessment protocol with a score of 11 (for a single utility service – wastewater). Love Valley has indicated that they will submit a resolution in support of designation prior to the July Authority meeting and has both acute and long-term needs for VU program support.

Staff Recommendations

Staff recommend that the Authority take the following actions:

1. Rescind the distressed designations for Beaufort County and Granite Falls, and retain designation for Edgecombe County, per the table below which summarizes the changes for all the LGUs that were placed on-hold following the initial assessment in 2021.

Table 2. Staff Recommendation Related to Distressed Designations			
On-Hold LGU (18)	Original Reassessment	Recommended Corrective Action	Revised Reassessment (10)
Beaufort County	Incorrectly designated	Rescind designation	NOT designated
Carteret County	Designated	None	Designated
Davidson County	NOT designated	None	NOT designated
Edenton	Designated	None	Designated
Edgecombe County	Incorrectly designated	Retain designation	Designated
Gates County	Designated	None	Designated
Granite Falls	Incorrectly designated	Rescind designation	NOT designated
Landis	NOT designated	None	NOT designated
Madison	Designated	None	Designated
Nash County	Designated	None	Designated
Ramseur	Audit designated	None	Audit designated
Rutherfordton	Designated	None	Designated
Sims	NOT designated	None	NOT designated
Southport	NOT designated	None	NOT designated
Stanly County	Designated	None	Designated
Stovall	Designated	None	Designated
Trinity	NOT designated	None	NOT designated
Vance County	Designated	None	Designated

2. Designate as distressed the following LGUs that have scored above the designation thresholds for the first time in 2022 and have provided a resolution indicating their desire to be designated distressed.
 - a. Town of Columbia
 - b. Town of Jamesville
 - c. Town of Lake Lure
 - d. Town of Liberty

- e. Town of Pittsboro
 - f. Town of Taylorsville
- 3. Designate the Town of Love Valley as distressed based on their first assessment score and resolution in support of designation.

	Utility Type	Previous Status 2021	Status/Action 2022	Total Assessment Score	Service Population (1)	Transfers Out (1)	Transfers In (1)	Debt Service Coverage Ratio (1)	DW Compliance (1)	WW / CS Compliance (1)	Flow Moratorium (4)	Revenue Outlook (4)	Affordability (1)	Rate (2019 Inside) \$/5000 gal (1)	DW Pop / Mile (1)	Sewer Pop / Mile (1)	UAL Control - W/S only (3)	UAL Financial - W/S only	Surplus (deficit) w/ Debt (2)	No Debt DSCR Test (1)	% Depreciated (1)	Operating Margin (1)	Quick Ratio	Receivables Ratio	
Aberdeen	BOTH	D3		5	1							4	1	1			3		NoData	NoData	1	NoData	NoData	LimitedData	
Ahoskie	BOTH			8	1		1	NoData								1	NoData		NoData	NoData	NoData	NoData	NoData	LimitedData	
Alamance	BOTH			4	1	1										1	NoData			NoData	NoData	1	NoData	LimitedData	
Albemarle	BOTH		Notice Letter	9							1	4		1		1	1					1			
Alexander County	BOTH			2				1								1	NoData								
Andrews	BOTH		Distressed	7	1	LimitedData	LimitedData	NoData			1			1			1	3	On List	NoData	NoData	NoData	NoData	NoData	LimitedData
Angier	BOTH			2	1																			1	
Anson County	BOTH			6					NoData		1			1		1		3		NoData	NoData	NoData	NoData	NoData	LimitedData
Ansonville	BOTH			4	1				NoData					1		1	1			NoData	NoData	NoData	NoData	NoData	LimitedData
Apex	BOTH			1																			1		
Archdale	BOTH		1										1												
Asheboro	BOTH		7					1		1			1						2		1	1			
Asheville	DW	D2		2			1	NoData					1						NoData	NoData	NoData	NoData	NoData	LimitedData	
Askeville	WW		Distressed	3	1	NoData	NoData	NoData					1				1		NoData	NoData	NoData	NoData	NoData	LimitedData	
Atkinson	DW			2	1	LimitedData	LimitedData	NoData					1	NoData	NoData				NoData	NoData	NoData	NoData	NoData	LimitedData	
Atlantic Beach	DW			3	1																1			1	
Aulander	BOTH	D3		5	1			NoData					1				3		NoData	NoData	NoData	NoData	NoData	LimitedData	
Aurora	BOTH			5	1			NoData		1			1		1	1			NoData	NoData	NoData	NoData	NoData	LimitedData	
Autryville	DW		Distressed	11	1								4	1	1	1					1		1		
Ayden	BOTH		Notice Letter	11	1			1						1	NoData	1		3	On List	2		1	1		
Bailey	BOTH		Distressed	8	1	LimitedData	LimitedData	NoData				4		1		1	1			NoData	NoData	NoData	NoData	NoData	LimitedData
Bakersville	BOTH			5	1									1											
Bald Head Island	BOTH			4	1										1	1	1						1		
Banner Elk	BOTH			4	1											1	1					1			
Bath	BOTH		D3	Distressed	16	1			NoData		1	4	4		1	1	1	3	On List	NoData	NoData	NoData	NoData	NoData	LimitedData
Bay River Metropolitan Sewage	WW		D3	Distressed	3	1			NoData		1			NoData			1			NoData	NoData	NoData	NoData	NoData	LimitedData
Beaufort	BOTH	HOLD		5	1									1	1	1								1	
Beaufort County	DW			7									4	1	1	1									
Beech Mountain	BOTH			4	1										1	1	1								
Belfast/Patetown Sanitary Dist	DW			0	NoData				NoData					NoData	NoData	NoData				NoData	NoData	NoData	NoData	NoData	LimitedData
Belhaven	BOTH	D3	Distressed	9	1			NoData				4	1	1	1	1		On List	NoData	NoData	NoData	NoData	NoData	LimitedData	
Belmont	BOTH		New Distressed Audit	3		LimitedData	LimitedData	NoData		1					1	1			NoData	NoData	NoData	NoData	NoData	LimitedData	
Benson	BOTH		8	1	1	1		1							1	1			2		NoData	NoData	NoData	LimitedData	
Bermuda Run	BOTH		1	1																					
Bertie County	DW	D3	Distressed	9				1					1		1	NoData	3	On List	2			1			
Bessemer City	BOTH		3	1									1											1	
Beulaville	BOTH		Notice Letter	9	1							4	1	1	1	1									
Biltmore Forest	BOTH		4	1											1	NoData					1	1			
Biscoe	BOTH		5	1									1		1	1						1			
Black Creek	BOTH		New Distressed Audit	4	1	LimitedData	LimitedData	NoData					1		1	1			NoData	NoData	NoData	NoData	NoData	LimitedData	
Black Mountain	DW		1	1																					
Bladen County	DW		4	1									1		1									1	
Bladenboro	BOTH	D3	Distressed	6	1								1		1	1						1		1	
Blowing Rock	BOTH		8	1			1	1							1	1			2			1			
Boardman	BOTH	D3	Distressed	8	1								1	NoData	1	1		On List	2	1		1	NoData		
Boiling Springs	BOTH		3	1											1	1									
Bolton	BOTH	D3	Distressed	11	1								1		1	1	3	On List	2	1		1			
Boone	BOTH		5					1											2			1		1	
Boonville	BOTH		7	1				1		1					1				2			1			
Bostic	DW		3	1									1		1										
Brevard	BOTH		8	1			1			1				1			3	On List					1		
Bridgeton	WW		Notice Letter	9	1		1	1								1			2		1	1	1		
Broad River Water Authority	DW		6									4	NoData	1	1						1	1	1		
Broadway	BOTH		3	1						1						NoData					1				
Brunswick	BOTH		Notice Letter	9	1		1						1		1	1			2	1		1			
Brunswick County	BOTH		2				1								1										
Brunswick Regional Water and	BOTH		0					NoData					NoData						NoData	NoData	NoData	NoData	NoData	LimitedData	
Bryson City	BOTH		Notice Letter	10	1		1			1	4		1			1			NoData	NoData	NoData	NoData	NoData	LimitedData	
Buncombe County Metropolitan	WW		0										NoData									1			
Bunn	BOTH		5	1			1	NoData					1		1	1			NoData	NoData	NoData	NoData	NoData	LimitedData	
Burgaw	BOTH		7	1				1					1		1	1			2			1			
Burke County	BOTH		Notice Letter	9	1			1					1	1	1	1			2			1			
Burlington	BOTH		3										1											1	
Burnsville	BOTH		3	1										1								1			

*D = Previously Designated Distressed; 1 = LGC Control; 2 = 2 years missing audit; 3 = score based

	Utility Type	Previous Status 2021	Status/Action 2022	Total Assessment Score	Service Population (1)	Transfers Out (1)	Transfers In (1)	Debt Service Coverage Ratio (1)	DW Compliance (1)	WW / CS Compliance (1)	Flow Moratorium (4)	Revenue Outlook (4)	Affordability (1)	Rate (2019 Inside) \$/5000 gal (1)	DW Pop / Mile (1)	Sewer Pop / Mile (1)	UAL Control - W/S only (3)	UAL Financial - W/S only	Surplus (deficit) w/ Debt (2)	No Debt DSCR Test (1)	% Depreciated (1)	Operating Margin (1)	Quick Ratio	Receivables Ratio
Cabarrus County Water & Sew.	BULK			0		LimitedData	LimitedData	NoData					NoData						NoData	NoData	NoData	NoData	NoData	LimitedData
Cajah's Mountain	WW			6	1								1						2	1		1	NoData	
Caldwell County	DW			3									1								1			
Calypso	BOTH			5	1								1			1						1		
Camden County	BOTH			3	1	LimitedData	1	NoData						NoData	1	NoData			NoData	NoData	NoData	NoData	NoData	LimitedData
Cameron	DW			7	1			1							1				2	1	1	1	NoData	
Candor	BOTH			3	1			NoData					1			1			NoData	NoData	NoData	NoData	NoData	LimitedData
Canton	BOTH			2	1			NoData											NoData	NoData	NoData	NoData	NoData	LimitedData
Cape Fear Public Utility Author	BOTH			0									NoData			1			NoData	NoData	NoData	NoData	NoData	
Carolina Beach	BOTH			1	1																			
Carteret County	DW	HOLD	New Distressed Score	9	1		1	1						1	1				2		1	1		
Carthage	BOTH			8	1					1		4		1	NoData	NoData						1		
Cary	BOTH			0																				
Cerro Gordo	BOTH	D3	Distressed	9	1		1								1	1	3	On List		1		1		
Chadbourn	BOTH			8	1			1					1		1	1			2			1		
Charlotte	BOTH			1			1																	
Chatham County	BOTH			3			1							1	1	NoData								
Cherryville	BOTH			8	1			1					1						2		1	1	NoData	1
Chimney Rock	DW		New Distressed Audit	6	1	LimitedData	LimitedData	NoData			4				1				NoData	NoData	NoData	NoData	NoData	LimitedData
Chocowinity	BOTH			7	1			NoData					1	1	1	NoData	3		NoData	NoData	NoData	NoData	NoData	LimitedData
Chowan County	DW			3									1											
Claremont	BOTH			5	1		1								1	1					1			
Clarkton	BOTH			8	1								1		1	1	3					1		
Clay County	BOTH			4	1										1	NoData					1	1		
Clayton	BOTH			0																				
Cleveland	BOTH			3	1					1														
Cleveland County Water	DW			2									NoData			1						1		
Cliffside Sanitary District	WW	D1	Distressed	1	NoData	LimitedData	LimitedData	NoData					NoData				1		NoData	NoData	NoData	NoData	NoData	LimitedData
Clinton	BOTH			5	1								1								NoData	1	NoData	
Clyde	BOTH			8	1							4		1		1					1			
Coats	DW			4	1																			
Cofield	BOTH			7	1			NoData					1		1	1	3		NoData	NoData	1	NoData	NoData	LimitedData
Colerain	WW	D3	Distressed	5	1			NoData							1	1	3	On List	NoData	NoData	NoData	NoData	NoData	LimitedData
Columbia	BOTH		Notice Letter	9	1			1					1				3	On List	2			1		
Columbus	BOTH			4	1								1		1	1								
Columbus County	DW			6			1						1		1		3	On List						
Concord	BOTH			0																				
Connelly Springs	BOTH			2	1	LimitedData	LimitedData	NoData					1	NoData	NoData	NoData			NoData	NoData	NoData	NoData	NoData	LimitedData
Conover	BOTH			4	1		1									1	1							
Contentnea Metropolitan Sewer	BULK			0	NoData	LimitedData	LimitedData	NoData					NoData						NoData	NoData	NoData	NoData	NoData	LimitedData
Conway	BOTH			8	1			1					1			1			2			1	NoData	
Cove City	BOTH			3	1	LimitedData	LimitedData	NoData					1	NoData		1			NoData	NoData	NoData	NoData	NoData	LimitedData
Craven County	DW			3			1						1		1				NoData	NoData	NoData	NoData	NoData	
Creswell	BOTH	D3	Distressed	13	1		LimitedData	NoData		1		4	1	1	1	1	3		NoData	NoData	NoData	NoData	NoData	LimitedData
Crossnore	BOTH			5	1										1	1				1				
Cumberland County	BOTH	D3	Distressed	9			1	1					1	1	NoData	1			2			1		1
Currituck County	BOTH			8			1			1	4			NoData	1						1			LimitedData
Dallas	BOTH			7	1								1		1		3				1			
Dare County	BOTH			3						1					1	NoData					1			
Davidson County	WW	HOLD		7				1					1			1			2			1		
Davie County	BOTH			5			1							1	1	1					1			
Denton	BOTH		Notice Letter	10	1					1		4	1	1	1	1								
Dobson	BOTH			7	1			1							1	1			2			1		
Dover	BOTH	D3	Distressed	7	1			NoData					1	NoData	1	1	3	On List	NoData	NoData	NoData	NoData	NoData	LimitedData
Drexel	BOTH			7	1			1					1						2		1	1		
Dublin	BOTH	D3	Distressed	8	1			1					1		1	1			2			1		
Dunn	BOTH			8	1			NoData		1	4		1		1				NoData	NoData	NoData	NoData	NoData	LimitedData
Duplin County	DW			2									1			1								
Durham	BOTH			1			1																	
Durham County	WW			0																				
East Arcadia	DW	D3	Distressed	6	1								1	NoData	1					1	1			
East Bend	BOTH	D3	Distressed	5	1									1	1	1					1			
East Spencer	BOTH	D3	Distressed	12	1							4	1	1	1	NoData	3	On List						1
Eden	BOTH			6						1			1		1	1					1	1		

*D = Previously Designated Distressed; 1 = LGC Control; 2 = 2 years missing audit; 3 = score based

	Utility Type	Previous Status 2021	Status/Action 2022	Total Assessment Score	Service Population (1)	Transfers Out (1)	Transfers In (1)	Debt Service Coverage Ratio (1)	DW Compliance (1)	WW / CS Compliance (1)	Flow Moratorium (4)	Revenue Outlook (4)	Affordability (1)	Rate (2019 Inside) \$/5000 gal (1)	DW Pop / Mile (1)	Sewer Pop / Mile (1)	UAL Control - W/S only (3)	UAL Financial - W/S only	Surplus (deficit) w/ Debt (2)	No Debt DSCR Test (1)	% Depreciated (1)	Operating Margin (1)	Quick Ratio	Receivables Ratio
Edenton	BOTH	HOLD	New Distressed Score	14	1			1	1		4		1		1	1			2		1	1		
Edgecombe County	BOTH	HOLD		8		LimitedData	LimitedData	NoData				4	1	1	1	1			NoData	NoData	NoData	NoData	NoData	LimitedData
Elizabeth City	BOTH			5				NoData					1			1	3		NoData	NoData	NoData	NoData	NoData	LimitedData
Elizabethtown	BOTH			6	1								1		1	1			NoData	NoData	1	1	NoData	
Elk Park	BOTH			8	1			1							1	1			2		1	1		
Elkin	DW			3	1								1		1									
Ellenboro	BOTH			5	1								1		1	1						1		
Ellerbe	BOTH	D3	Distressed	11	1			1					1		1	1	3	On List	2			1		
Elm City	BOTH	D3	Distressed	17	1			1			4	4	1	1	1	1	3	On List				1		
Elon	BOTH			1																				
Enfield	BOTH	D3	Distressed	5	1								1	1							1			1
Engelhard Sanitary District	WW	D3	Distressed	4	NoData	LimitedData	LimitedData	NoData			4		NoData			NoData			NoData	NoData	NoData	NoData	NoData	LimitedData
Eureka	WW	D1	Distressed	2	1	LimitedData	LimitedData	NoData								1		On List	NoData	NoData	NoData	NoData	NoData	LimitedData
Everetts	BOTH	D3	Distressed	4	1		1	NoData						NoData	1	1		On List	NoData	NoData	NoData	NoData	NoData	LimitedData
Fair Bluff	BOTH	D3	Distressed	11	1			1					1		1	1	3	On List	2			1		
Fairmont	BOTH	D3	Distressed	12	1			1			4		1			1		On List	2			1		1
Faison	BOTH			5	1								1								1	1		
Faith	BOTH			3	1																	1		
Falcon	DW			3	1			NoData					1	NoData	1				NoData	NoData	NoData	NoData	NoData	LimitedData
Fallston	BOTH			6	1								1		1	1						1	NoData	1
Farmville	BOTH		Notice Letter	10	1							4	1	1	1							1		1
First Craven Sanitary District	DW			2	1			NoData					NoData		1				NoData	NoData	NoData	NoData	NoData	LimitedData
Flat Rock/Bannertown Water	DW			0	NoData	LimitedData	LimitedData	NoData					NoData						NoData	NoData	NoData	NoData	NoData	LimitedData
Fontana Dam	BOTH			5	1										1	1		On List		1				LimitedData
Forest City	BOTH			4	1								1		1	1						1		
Fork Township Sanitary District	BOTH			4									NoData	NoData	1	NoData	3							LimitedData
Fountain	BOTH	D3	Distressed	10	1							4	1	1	1	1						1		
Four Oaks	BOTH			3	1										1	NoData					1		NoData	LimitedData
Foxfire Village	DW			3	1										1						1			
Franklin	BOTH			3	1										1	1								
Franklin County	BOTH			3										1	1									1
Franklinville	BOTH	D3	Distressed	6	1			NoData						1	1	NoData	3		NoData	NoData	NoData	NoData	NoData	LimitedData
Fremont	BOTH	D3	Distressed	10	1							4	1	1	1	1		On List				1		
Fuquay-Varina	BOTH			1																		1		
Gamewell	WW	D3	Distressed	9	1		1						1			1			2	1		1	NoData	1
Garland	BOTH			5	1					1			1		1	1								1
Gastonia	BOTH			1									1											
Gates County	BOTH	HOLD	New Distressed Score	10			1				4		1		1	NoData				1		1		1
Gibson	BOTH	D3	Distressed	13	1								1		1	1	3	On List	2	1	1	1		1
Gibsonville	BOTH			2	1																			
Glen Alpine	WW	D3	Distressed	4	1	LimitedData	LimitedData	NoData					1			NoData	3	On List	NoData	NoData	NoData	NoData	NoData	LimitedData
Godwin	DW	D3	Distressed	10	1							4		1					1		1		NoData	LimitedData
Goldsboro	BOTH	D3	Distressed	1		LimitedData	LimitedData	NoData					1						NoData	NoData	NoData	NoData	NoData	LimitedData
Goldston	WW			6	1			1								1			2			1		
Goldston/Gulf Sanitary District	DW			4									NoData	1	1						1			1
Graham	BOTH			2						1			1											
Granite Falls	BOTH	HOLD		6	1		1								1	1					1	1		
Green Level	BOTH		New Distressed Audit	2	1	LimitedData	LimitedData	NoData					1	NoData		NoData			NoData	NoData	NoData	NoData	NoData	LimitedData
Greene County	BOTH	D3	Distressed	9	1	1		1					1		1	NoData			2			1		1
Greenevers	BOTH	D3	Distressed	18	1		1					4	1	1		1	3	On List	2	1		1	1	1
Greensboro	BOTH			2						1			1											
Greenville Utilities Commissior	BOTH			0									NoData											
Grifton	BOTH	D3	Distressed	5	1	1							1	NoData							1	1		
Grimesland	BOTH			4	1		LimitedData	NoData					1	1		1			NoData	NoData	NoData	NoData	NoData	LimitedData
Grover	BOTH		Notice Letter	11	1			1					1				3	On List	2		1	1		
Halifax	BOTH	D3	Distressed	9	1	LimitedData	LimitedData	NoData				4	1	1	1	1		On List	NoData	NoData	NoData	NoData	NoData	LimitedData
Halifax County	DW			3									1		1						1			
Hamilton	BOTH	D3	Distressed	3	1	LimitedData	LimitedData	NoData					1			1			NoData	NoData	NoData	NoData	NoData	LimitedData
Hamlet	BOTH			7	1				1				1		1	1					1	1		
Handy Sanitary District	BOTH			3	1								NoData	1	1	NoData								
Harkers Island Sanitary District	DW			2	1								NoData								1			
Harmony	WW		Notice Letter	8	1			1					1			1			2			1		1
Harnett County	BOTH			4						1			1		1	1								
Harrellsville	DW			4	1								1		1						1			

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	Utility Type	Previous Status 2021	Status/Action 2022	Total Assessment Score	Service Population (1)	Transfers Out (1)	Transfers In (1)	Debt Service Coverage Ratio (1)	DW Compliance (1)	WW / CS Compliance (1)	Flow Moratorium (4)	Revenue Outlook (4)	Affordability (1)	Rate (2019 inside) \$/5000 gal (1)	DW Pop / Mile (1)	Sewer Pop / Mile (1)	UAL Control - W/S only (3)	UAL Financial - W/S only	Surplus (deficit) w/ Debt (2)	No Debt DSCR Test (1)	% Depreciated (1)	Operating Margin (1)	Quick Ratio	Receivables Ratio
Harrisburg	BOTH			0																				
Havelock	BOTH			2									1								1			
Haw River	BOTH			8	1			1							1			On List	2		1	1		1
Henderson	BOTH			6			1			1			1		NoData		3				1			
Henderson County	VW			7	1											1			2	1	1	1		
Hendersonville	BOTH			4		1				1					1						1			
Hertford	BOTH	D3	Distressed	7	1	LimitedData		NoData					1	1	1		3	On List	NoData	NoData	NoData	NoData	NoData	LimitedData
Hertford County	DW			3	1								1		1									
Hickory	BOTH			3			1								1	1								
High Point	BOTH			2									1								1			
High Shoals	BOTH			4	1										1	1						1		
Highlands	BOTH			4	1		1								1							1		
Hillsborough	BOTH			4	1		1	NoData						1	1				NoData	NoData	NoData	NoData	NoData	LimitedData
Hobgood	BOTH		Notice Letter	12	1							4	1	1	1	1				1	1			
Hoke County	BOTH			2									1			1								
Holden Beach	BOTH			4	1										1	1						1		
Holly Springs	BOTH			1			1																	
Hookerton	BOTH	D3	Distressed	4	1	1	LimitedData	NoData							1	1			NoData	NoData	NoData	NoData	NoData	LimitedData
Hot Springs	BOTH	D3	Distressed	5	1								1		1	1					1	NoData	NoData	LimitedData
Hyde County	DW	D3	Distressed	7	1			NoData		1			1		1		3	On List	NoData	NoData	NoData	NoData	NoData	LimitedData
Jackson	BOTH		New Distressed Audit	2	1	LimitedData	LimitedData	NoData								1			NoData	NoData	NoData	NoData	NoData	LimitedData
Jacksonville	BOTH			2			1														1	NoData	NoData	LimitedData
Jamestown	BOTH			1	1																			
Jamesville	BOTH		Notice Letter	9	1			NoData				4	1	1	1	1			NoData	NoData	NoData	NoData	NoData	LimitedData
Jefferson	BOTH			6	1		1								1	1					1	1		
Johnston County	BOTH			4			1							1	1	1								
Jones County	DW			4	1								1		1						1			
Jonesville	BOTH	D3	Distressed	4	1			NoData					1	1	1	NoData			NoData	NoData	NoData	NoData	NoData	LimitedData
Junaluska Sanitary District	BOTH			7	1			1					NoData	1	1				2			1		
Kannapolis	BOTH			2			1																	1
Kenansville	BOTH			8	1			1					1						2		1	1		1
Kenly	BOTH			5	1			NoData		1			1		1	1			NoData	NoData	NoData	NoData	NoData	LimitedData
Kill Devil Hills	BOTH			2	1	LimitedData	LimitedData	NoData						NoData	1	NoData			NoData	NoData	NoData	NoData	NoData	LimitedData
King	BOTH			3	1									1	1				NoData	NoData	NoData	NoData	NoData	LimitedData
Kings Mountain	BOTH			4																	1	1		
Kingstown	VW	D3	Distressed	3	1	LimitedData	LimitedData	NoData					1		1	1			NoData	NoData	NoData	NoData	NoData	LimitedData
Kinston	BOTH			3			1						1								1			
Kure Beach	BOTH			1	1																			
La Grange	BOTH			5	1					1			1								1	1		
Lake Lure	BOTH		Notice Letter	9	1					1	4			1	1	1								
Lake Santeetlah	DW			4	1									1	1						1			
Lake Waccamaw	BOTH			3	1										1	1								
Landis	BOTH	HOLD		4	1		LimitedData							1	1	1						1		LimitedData
Lansing	BOTH		Notice Letter	10	1								1		1	1			2	1	1	1		1
Laurel Park	BOTH			3	1	LimitedData	1	NoData						NoData	1	NoData			NoData	NoData	NoData	NoData	NoData	LimitedData
Laurinburg	BOTH			2									1		1									
Lawndale	BOTH	D3	Distressed	8	1								1		1	1			2	1		1		
Leland	BOTH			6			1	1						NoData	1	1			2		NoData		NoData	
Lenoir	BOTH			3									1		1	1								
Lewiston-Woodville	VW			3	1	LimitedData	LimitedData	NoData					1		1	1			NoData	NoData	NoData	NoData	NoData	LimitedData
Lexington	BOTH		Notice Letter	9			1	1							1	1			2		1	1		
Liberty	BOTH		Notice Letter	9	1			NoData		1	4		1		1	1			NoData	NoData	NoData	NoData	NoData	LimitedData
Lilesville	BOTH	D3	Distressed	6	1		1							1	1	1						1		
Lillington	BOTH			3	1			NoData					1			1			NoData	NoData	NoData	NoData	NoData	LimitedData
Lincoln County	BOTH			3			1								1	1								
Lincolnton	BOTH			8		1		1					1		1	1			2		1			
Linden	DW			4	1								1		1						1			
Littleton	BOTH			3	1			NoData					1						NoData	NoData	NoData	NoData	NoData	LimitedData
Locust	VW			2	1											1								
Long View	BOTH			4	1								1								1	1		
Louisburg	BOTH			5	1								1			1					1			
Lowell	BOTH			7	1			1					1						2		1	1		
Lower Cape Fear Water & Sew	BULK			1	1	LimitedData	LimitedData	NoData				NoData	NoData						NoData	NoData	NoData	NoData	NoData	LimitedData
Lucama	BOTH		New Distressed Audit	4	1	LimitedData	LimitedData	NoData					1	1	1	NoData			NoData	NoData	NoData	NoData	NoData	LimitedData

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	Utility Type	Previous Status 2021	Status/Action 2022	Total Assessment Score	Service Population (1)	Transfers Out (1)	Transfers In (1)	Debt Service Coverage Ratio (1)	DW Compliance (1)	WW / CS Compliance (1)	Flow Moratorium (4)	Revenue Outlook (4)	Affordability (1)	Rate (2019 inside) \$/5000 gal (1)	DW Pop / Mile (1)	Sewer Pop / Mile (1)	UAL Control - W/S only (3)	UAL Financial - W/S only	Surplus (deficit) w/ Debt (2)	No Debt DSCR Test (1)	% Depreciated (1)	Operating Margin (1)	Quick Ratio	Receivables Ratio
Lumberton	BOTH			7									1		1		3				1			1
Macclesfield	BOTH	D3	Distressed	6	1			NoData					1			1		On List	NoData	NoData	NoData	NoData	NoData	LimitedData
Madison	BOTH	HOLD	New Distressed Score	9	1			1					1		1	1			2		1	1		
Maggie Valley	WW			3	1											1								1
Maggie Valley Sanitary District	DW			5	1	LimitedData	LimitedData	NoData					NoData		1		3		NoData	NoData	NoData	NoData	NoData	LimitedData
Magnolia	BOTH			8	1								1		1				2	1		1	1	
Maiden	BOTH			7	1			1								1			2		1	1		
Manteo	BOTH			4	1										1	1			2		1			
Marion	BOTH			6	1								1		1	1					1	1		
Mars Hill	BOTH			3	1										1							1		
Marshall	BOTH			5	1										1	1					1	1		
Marshville	BOTH			3	1									1	1									
Martin County	DW	D3	Distressed	11	1			1				4		1	1			On List	2				1	
Martin County Regional Water	0			1	1	LimitedData	LimitedData	NoData					NoData						NoData	NoData	NoData	NoData	NoData	LimitedData
Maury Sanitary Land District	0			2	1							NoData	NoData								1			LimitedData
Maxton	BOTH			8	1		1	1					1		1	1						1		1
Mayodan	BOTH			5	1		1						1		1							1		
Maysville	BOTH	D3	Distressed	10	1					1			1	1	1	NoData	3					1		1
Mcadenville	BOTH			2	1											1								
Mcdowell County	DW		Notice Letter	8	1		1	1					1		1				2			1		
Mebane	BOTH			1			1																	
Micro	BOTH		New Distressed Audit	3	1	LimitedData	LimitedData	NoData							1	1			NoData	NoData	NoData	NoData	NoData	LimitedData
Middlesex	BOTH	D3	Distressed	4	1			NoData					1	1	1	NoData			NoData	NoData	NoData	NoData	NoData	LimitedData
Milton	BOTH			6	1	LimitedData	LimitedData	NoData						NoData	1	1	3	On List	NoData	NoData	NoData	NoData	NoData	LimitedData
Mocksville	BOTH			6	1					1					1	1					1	1		
Monroe	BOTH			1									1											
Montgomery County	BOTH			4									1			NoData					1			1
Montreat	DW			2	1	LimitedData	LimitedData	NoData					1		1				NoData	NoData	NoData	NoData	NoData	LimitedData
Moore County	BOTH			3			1								1						1			
Mooreville	BOTH			5			1	1											2			1		
Morehead City	BOTH			4	1								1			1								1
Morganton	BOTH			4						1					1	1					1			
Morven	BOTH	D3	Distressed	5	1			NoData					1	1	1	1			NoData	NoData	NoData	NoData	NoData	LimitedData
Mount Airy	BOTH			3											1	1					1			
Mount Gilead	BOTH			7	1		1			1			1		1	1						1		
Mount Holly	BOTH			6											1		3				1			1
Mount Olive	BOTH	D3	Distressed	14	1			1			4		1		1		3	On List	2			1		
Mount Pleasant	BOTH			3	1										1	1								
Murfreesboro	BOTH			2	1								1											
Murphy	BOTH			5	1					1			1		1							1		
Nags Head	DW			3	1										1						1			
Nash County	BOTH	HOLD	New Distressed Score	10	1	1	1					4	1	1	1	NoData								
Nashville	BOTH			2	1			NoData						1					NoData	NoData	NoData	NoData	NoData	LimitedData
Neuse Regional Water & Sewe	BULK			0		LimitedData	LimitedData	NoData				NoData	NoData						NoData	NoData	NoData	NoData	NoData	LimitedData
New Bern	BOTH			2									1			1								
New London	BOTH			5	1		1							NoData	NoData	1				1		1		
Newland	BOTH			4	1	LimitedData	LimitedData	NoData					1		1	1			NoData	NoData	NoData	NoData	NoData	LimitedData
Newport	BOTH		New Distressed Audit	1	1	LimitedData	LimitedData	NoData											NoData	NoData	NoData	NoData	NoData	LimitedData
Newton	BOTH			4			1								1	1					1			
Newton Grove	BOTH	D3	Distressed	4	1	LimitedData	LimitedData	NoData		1					1	1			NoData	NoData	NoData	NoData	NoData	LimitedData
Norlina	BOTH			8	1								1		1	NoData	3	On List			NoData	1	NoData	1
North Wilkesboro	BOTH			4	1								1			1					1			
Northampton County	BOTH			5				NoData					1		1	NoData	3		NoData	NoData	NoData	NoData	NoData	LimitedData
Norwood	BOTH	D3	Distressed	15	1			NoData			4	4		1	1	1	3	On List	NoData	NoData	NoData	NoData	NoData	LimitedData
Oak Island	BOTH			4	1		1								1	1								
Oakboro	BOTH			3	1																1	1		
Ocean Isle Beach	BOTH			2	1										1									
Ocracoke Sanitary District	BOTH		Notice Letter	11	1			1					NoData	NoData	1	1	3	On List	2		1	1		
Old Fort	BOTH			4	1								1									1		1
Onslow Water and Sewer Auth	BOTH			1									NoData											1
Orange Water & Sewer Author	BOTH			1									NoData											1
Oriental	DW			2	1			NoData							1				NoData	NoData	NoData	NoData	NoData	LimitedData
Ossipee	DW	D3	Distressed	10	1							4		1					2	1		1		
Oxford	BOTH			2	1								1											

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Pamlico County	DW			4						1					1					1	1			
Parkton	BOTH		Notice Letter	9	1			1		1			1		1	1			2		1	1		
Parmele	BOTH	D3	Distressed	8	1			NoData				4	1	1	1	1			NoData	NoData	NoData	NoData	NoData	LimitedData
Pasquotank County	BOTH			7				1					1		1	NoData			2		1	1		
Peachland	BOTH			6	1								1	1	1	1					1	1		
Pembroke	BOTH			7	1			1					1		1				2		1	1		
Pender County	BOTH			6		0	0	NoData		1				1	1	NoData	3		NoData	NoData	NoData	NoData	NoData	LimitedData
Perquimans County	DW		Notice Letter	12				1				4	1	1	1				2		1	1		
Piedmont Triad Regional Water	BULK			1	1	LimitedData	LimitedData	NoData				NoData	NoData						NoData	NoData	NoData	NoData	NoData	LimitedData
Pikeville	BOTH	D3	Distressed	13	1	LimitedData	LimitedData	NoData			4	4	1	1	1	1			NoData	NoData	NoData	NoData	NoData	LimitedData
Pilot Mountain	BOTH	D3	Distressed	7	1			LimitedData					1		1	1	3	On List	NoData	NoData	NoData	NoData	NoData	LimitedData
Pine Knoll Shores	DW			2	1										1									
Pine Level	BOTH			3	1										1	NoData					1			
Pinebluff	BOTH			3	1										1	NoData					1			
Pinetops	BOTH	D3	Distressed	7	1	LimitedData		NoData			4		1			1			NoData	NoData	NoData	NoData	NoData	LimitedData
Pink Hill	BOTH	D3	Distressed	5	1									1	1	1					1	1		
Pittsboro	BOTH		Notice Letter	11	1	1	1	1						1	1	1			2		1	1		
Plymouth	BOTH	D3	Distressed	7	1		LimitedData	NoData				4	1	1					NoData	NoData	NoData	NoData	NoData	LimitedData
Polkton	BOTH		Notice Letter	9	1		1					4	1	1	1	NoData					NoData	NoData	NoData	LimitedData
Polkville	WW	D3	Distressed	6	1	LimitedData	LimitedData	NoData					1			1	3	On List	NoData	NoData	NoData	NoData	NoData	LimitedData
Pollocksville	BOTH	D3	Distressed	13	1		1	NoData				4	1	1	1	1	3		NoData	NoData	NoData	NoData	NoData	LimitedData
Powellsville	BOTH			5	1								1		1	1					1			
Princeton	BOTH		Notice Letter	10	1						4		1		1	1					1	1		
Proctorville	WW		Notice Letter	10	1										1	1	3	On List	2	1		1	NoData	1
Public Work Commission of the	BOTH			2			1						NoData			NoData								1
Raeord	BOTH			5	1								1		1						1	1		
Raleigh	BOTH			1			1																	
Ramseur	BOTH	HOLD	New Distressed Audit	4	1	LimitedData	LimitedData	NoData					1		1	1			NoData	NoData	NoData	NoData	NoData	LimitedData
Randleman	BOTH			3	1										1						1			
Ranlo	BOTH		Notice Letter	9	1									NoData			3	On List	2	1	1	1		LimitedData
Red Springs	BOTH		Notice Letter	11	1		1	1					1				3	On List	2		1	1		
Reidsville	BOTH			3			1						1											1
Rhodhiss	BOTH			5	1								1	1		1						1		
Rich Square	BOTH	D3	Distressed	12	1	LimitedData	LimitedData	NoData		1	4		1	NoData	1	1	3		NoData	NoData	NoData	NoData	NoData	LimitedData
Richfield	WW			3	1	LimitedData	1	NoData								1		On List	NoData	NoData	NoData	NoData	NoData	LimitedData
Richmond County	DW			2		LimitedData	LimitedData	NoData					1	NoData	1				NoData	NoData	NoData	NoData	NoData	LimitedData
River Bend	BOTH			8	1							4		1							1			1
Roanoke Rapids Sanitary District	BOTH			1									NoData								1			
Robbins	BOTH	D3	Distressed	5	1	LimitedData	LimitedData	NoData		1				1	1	1			NoData	NoData	NoData	NoData	NoData	LimitedData
Robbinsville	BOTH			6	1								1		1	1						1		
Robersonville	BOTH	D1	Distressed	3	1	LimitedData	LimitedData	NoData						NoData	1	1			NoData	NoData	NoData	NoData	NoData	LimitedData
Robeson County	DW			2				NoData					1		1				NoData	NoData	NoData	NoData	NoData	LimitedData
Rockingham	BOTH			5	1								1	NoData		1					1	1		LimitedData
Rockingham County	BOTH	D3	Distressed	16	1		1	1	1			4	1	1	1	1			2		1	1		1
Rocky Mount	BOTH			3									1								1	1		
Ronda	DW	D2	Distressed	3	1	LimitedData	LimitedData	NoData					1		1				NoData	NoData	NoData	NoData	NoData	LimitedData
Roper	BOTH		Notice Letter	11	1			1		1			1		1	1			2		1	1		1
Rose Hill	BOTH			8	1			1					1		1	1			2			1		
Roseboro	BOTH			7	1		1						1		1	1					1	1		
Rosman	BOTH		Notice Letter	11	1			1					1		1	1	3		2			1		
Rowland	BOTH	D3	Distressed	17	1			1			4		1		1	1	3	On List	2		1	1		1
Roxboro	BOTH		New Distressed Audit	2	1	LimitedData	LimitedData	NoData					1						NoData	NoData	NoData	NoData	NoData	LimitedData
Rutherford College	BOTH			2	1										1	NoData								
Rutherfordton	WW	HOLD	New Distressed Score	8	1			1					1			1			2			1		1
Saint Pauls	BOTH	D3	Distressed	8	NoData						4	NoData	NoData				3							1
Salemburg	BOTH			6	1										1	1				1	1			
Salisbury	BOTH			2									1								1			
Saluda	BOTH			3	1									1		1								
Sampson County	DW			3									1		1									1
Sandyfield	DW			5	1								1		1					1				
Sanford	BOTH			6				1					1		1				2			1		
Saratoga	BOTH		Notice Letter	10	1							4	1	1	1	1						1		
Sawmills	BOTH			3	1								1			1								
Scotland County	DW			6	1								1		1		3							

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	Utility Type	Previous Status 2021	Status/Action 2022	Total Assessment Score	Service Population (1)	Transfers Out (1)	Transfers In (1)	Debt Service Coverage Ratio (1)	DW Compliance (1)	WW / CS Compliance (1)	Flow Moratorium (4)	Revenue Outlook (4)	Affordability (1)	Rate (2019 inside) \$/5000 gal (1)	DW Pop / Mile (1)	Sewer Pop / Mile (1)	UAL Control - W/S only (3)	UAL Financial - W/S only	Surplus (deficit) w/ Debt (2)	No Debt DSCR Test (1)	% Depreciated (1)	Operating Margin (1)	Quick Ratio	Receivables Ratio
Scotland Neck	BOTH	D3	Distressed	14	1		1						1	1		1		On List			1	1		
Seaboard	BOTH	D3	Distressed	6	1		1					4	1			1	3							1
Seagrove-Ulah Metropolitan W		0		1	1	LimitedData	LimitedData	NoData					NoData						NoData	NoData	NoData	NoData	NoData	LimitedData
Sedgefield Sanitary District	WW			7	1								NoData						2	1	1	1		
Selma	BOTH			5	1									1							1	1		
Seven Devils	DW			2	1		1								1									
Severn	BOTH			6	1										1	1				1	1	1		
Shallotte	BOTH			3	1										1	1								
Sharpsburg	BOTH	D3	Distressed	12	1			NoData				4	1	1	1	1	3	On List	NoData	NoData	NoData	NoData	NoData	LimitedData
Shelby	BOTH			4			1						1		1	1								
Siler City	BOTH			4	1			NoData		1			1		1				NoData	NoData	NoData	NoData	NoData	LimitedData
Sims	BOTH	HOLD		6	1								1			1				1	1	1		
Smithfield	BOTH			1																	1			
Snow Hill	BOTH	D3	Distressed	10	1					1				1		1	3	On List			1	1		1
South Granville Water and Sew	BOTH			1									NoData	1										
Southeast Brunswick Sanitary I	WW			3	1					1			NoData			1								
Southeastern Wayne Sanitary I	BOTH			0	NoData	LimitedData	LimitedData	NoData					NoData	NoData	NoData	NoData			NoData	NoData	NoData	NoData	NoData	LimitedData
Southern Pines	BOTH			2											1	1								
Southern Wayne Sanitary Distr	BOTH			7	NoData							4	NoData	1	NoData	NoData					1			1
Southport	BOTH	HOLD		3	1								NoData	1		1								
Southwestern Wayne Sanitary	BOTH			0	NoData	LimitedData	LimitedData	NoData					NoData	NoData	NoData	NoData			NoData	NoData	NoData	NoData	NoData	LimitedData
Sparta	BOTH			8	1			1					1		1	1			2	NoData	NoData	1	NoData	LimitedData
Spindale	WW			3	1			NoData					1			1			NoData	NoData	NoData	NoData	NoData	LimitedData
Spring Hope	BOTH			3	1			NoData					1		1				NoData	NoData	NoData	NoData	NoData	LimitedData
Spring Lake	BOTH	D3	Distressed	5		1		NoData					1				3	On List	NoData	NoData	NoData	NoData	NoData	LimitedData
Spruce Pine	BOTH			5	1								1						NoData	NoData	NoData	NoData	NoData	LimitedData
Stanfield	BOTH			7	1							4			1	NoData						1	1	
Stanley	BOTH			4	1																	1		
Stanly County	DW	HOLD	New Distressed Score	10			1			1	4			1	1		3	On List			1	1		
Stanly Water & Sewer Authorit	BOTH			3	NoData								NoData	NoData	NoData	NoData	3	On List						LimitedData
Stantonsburg	BOTH			7	1							4	1	1										
Star	BOTH	D3	Distressed	4	1			NoData					1		1	1			NoData	NoData	NoData	NoData	NoData	LimitedData
Statesville	BOTH			3											1	1					1	NoData	NoData	LimitedData
Stedman	BOTH			7	1			1							1	NoData			2	NoData	1	1	NoData	LimitedData
Stokes County	DW			5		LimitedData	LimitedData	NoData				4		1	NoData				NoData	NoData	NoData	NoData	NoData	LimitedData
Stokes County Water & Sewer	DW			2	1								NoData	NoData	1				NoData	NoData	NoData	NoData	LimitedData	LimitedData
Stokesdale	DW			4	1									1		1							1	
Stoneville	BOTH			4	1										1	1						1		
Stovall	BOTH	HOLD	New Distressed Score	10	1		1					4		1	1	1						1		
Surf City	BOTH			4	1									1	1	1								
Surry County	DW	D3	Distressed	12	1		1	1				4	1	1	1							1		1
Swan Quarter Sanitary District	WW		New Distressed Audit	1	NoData	LimitedData	LimitedData	NoData		1			NoData			NoData	1			NoData	NoData	NoData	NoData	LimitedData
Swepsonville	BOTH			5	1		1							1		NoData					1	NoData	NoData	LimitedData
Tabor City	BOTH			3	1	LimitedData	1	NoData					1					On List	NoData	NoData	NoData	NoData	NoData	LimitedData
Tarboro	BOTH			7				1					1		1				2	NoData	1	1	NoData	LimitedData
Taylorsville	BOTH		Notice Letter	10	1			1													1	1	NoData	LimitedData
Taylortown	BOTH	D3	Distressed	7	1			1							1	NoData	3	On List	2	NoData	1	1	NoData	LimitedData
Teachey	BOTH			8	1								1	1		NoData	3	On List	2	NoData	1	1	NoData	LimitedData
Thomasville	BOTH			3											1	1					1			
Topsail Beach	DW			4	1		1					0		1	0									1
Trenton	BOTH	D3	Distressed	9	1						4		1	NoData	NoData	1				1		1		LimitedData
Trinity	WW	HOLD		7	1		1	1											2	NoData	NoData	1	1	LimitedData
Troutman	BOTH			2	1			NoData							1									LimitedData
Troy	BOTH			4	1								1								1	NoData	NoData	LimitedData
Tryon	BOTH	D3	Distressed	11	1			NoData				4		1	1	1	3	On List	NoData	NoData	NoData	NoData	NoData	LimitedData
Tuckaseegee Water and Sewer	BOTH			2	1								NoData		1	NoData								
Turkey	DW			3	1										1						1			
Tyrrell County	BOTH			5	1		1											On List				1		LimitedData
Union County	BOTH			2			1			1														
Valdese	BOTH			4	1										1						1	1		
Vance County	DW	HOLD	New Distressed Score	10	1		1					4	1	1	1									1
Vanceboro	BOTH	D3	Distressed	5	1			NoData					1				3	On List	NoData	NoData	NoData	NoData	NoData	LimitedData
Wade	DW			3	1		0								1						1			
Wadesboro	BOTH			7	1		1			1			1		1	NoData					1	1		

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Wagram	BOTH			7	1	1							1	NoData	1	1				1	1			
Wallace	BOTH			6	1					1			1			1				1	1			1
Walnut Cove	BOTH			4	1					1						1				1	1			
Walnut Creek	BOTH			7	1		1	NoData						NoData	1	1	3		NoData	NoData	NoData	NoData	NoData	LimitedData
Walstonburg	BOTH	D3	Distressed	12	1							4	1	1	1	1			NoData	1	1	1	1	
Warren County	BOTH			4	1		1						1			NoData								
Warrenton	BOTH	D3	Distressed	5	1	LimitedData		NoData					1	1	1	1		On List	NoData	NoData	NoData	NoData	NoData	LimitedData
Warsaw	BOTH			4	1								1			1						1		
Washington	BOTH			5	1		1						1								1	1		
Washington County	DW	D3	Distressed	8	1							4	1	1	1									
Wayne County	DW			2		LimitedData	1	NoData					1	NoData	NoData			NoData	NoData	NoData	NoData	NoData	NoData	LimitedData
Waynesville	BOTH			8	1						4					1					1	NoData	NoData	1
Weaverville	DW			3	1										1									1
Weldon	BOTH			4	1								1			1					1			
West Jefferson	BOTH			4	1										1	1						1		
Western Bay River Metropolita	0			0	NoData	LimitedData	LimitedData	NoData				NoData	NoData						NoData	NoData	NoData	NoData	NoData	LimitedData
Whitakers	BOTH	D3	Distressed	9	1	LimitedData	LimitedData	NoData				4	1	1	1	1			NoData	NoData	NoData	NoData	NoData	LimitedData
White Lake	BOTH			3	1			NoData						NoData	1	1			NoData	NoData	NoData	NoData	NoData	LimitedData
Whiteville	BOTH			6	1								1		1	1			NoData	NoData	NoData	NoData	NoData	LimitedData
Whitsett	0			3	1			1							1	1							1	LimitedData
Whittier Sanitary District	BOTH			4	1								NoData	NoData	1	1				1		1		
Wilkesboro	BOTH	D2	Distressed	5	1	LimitedData	LimitedData	NoData		1			1		1	1		NoData	NoData	NoData	NoData	NoData	NoData	LimitedData
Williamston	BOTH	D3	Distressed	10	1		1	NoData				4	1	1	1	1		NoData	NoData	NoData	NoData	NoData	NoData	LimitedData
Wilson	BOTH			1									1											
Wilson County	DW	D3	Distressed	8	1							4	1	1	1									
Windsor	BOTH			4	1								1		1						1			
Winfall	WW			7	1			1					1			1			2			1		
Wingate	BOTH			2	1									1										
Winston-Salem	BOTH			2			1						1											
Winterville	BOTH			2	1		1							NoData										
Winton	BOTH			8	1								1			1	3				1	1	NoData	LimitedData
Woodfin Sanitary District	DW			1	1			NoData					NoData					NoData	NoData	NoData	NoData	NoData	NoData	
Woodland	BOTH			8	1		1			1			1		1	1					1	1	NoData	LimitedData
Wrightsville Beach	BOTH			3	1										1						1			
Yadkin County	BOTH	D3	Distressed	8	1			1					1		1	1		2				1		
Yadkin Valley Sewer Authority	WW			4	1					1			NoData			1								1
Yadkinville	BOTH			5	1										1	1					1	1		
Yanceyville	BOTH			5	1					1			1		1									1

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	Utility Type	Previous Status 2021	Status/Action 2022	Total Assessment Score	Service Population (1)	Transfers Out (1)	Transfers In (1)	Debt Service Coverage Ratio (1)	DW Compliance (1)	WW / CS Compliance (1)	Flow Moratorium (4)	Revenue Outlook (4)	Affordability (1)	Rate (2019 inside) \$/5000 gal (1)	DW Pop / Mile (1)	Sewer Pop / Mile (1)	UAL Control - W/S only (3)	UAL Financial - W/S only	Surplus (deficit) w/ Debt (2)	No Debt DSCR Test (1)	% Depreciated (1)	Operating Margin (1)	Quick Ratio	Receivables Ratio
Carteret County	DW	HOLD	New Distressed Score	9	1		1	1						1	1				2		1	1		
Edenton	BOTH	HOLD	New Distressed Score	14	1			1	1		4		1		1	1			2		1	1		
Gates County	BOTH	HOLD	New Distressed Score	10			1				4		1		1	NoData				1		1		1
Madison	BOTH	HOLD	New Distressed Score	9	1			1					1		1	1			2		1	1		1
Nash County	BOTH	HOLD	New Distressed Score	10	1	1	1					4	1	1	1	NoData								
Rutherfordton	WW	HOLD	New Distressed Score	8	1			1					1			1			2			1		1
Stanly County	DW	HOLD	New Distressed Score	10			1			1	4			1	1						1	1		
Stovall	BOTH	HOLD	New Distressed Score	10	1		1					4		1	1	1						1		
Vance County	DW	HOLD	New Distressed Score	10	1		1					4		1	1									1
Belmont	BOTH	HOLD	New Distressed Audit	3		Limited Data	Limited Data	NoData		1					1	1			NoData	NoData	NoData	NoData	NoData	Limited Data
Black Creek	BOTH		New Distressed Audit	4	1	Limited Data	Limited Data	NoData						1		1	1		NoData	NoData	NoData	NoData	NoData	Limited Data
Chimney Rock	DW		New Distressed Audit	6	1	Limited Data	Limited Data	NoData				4				1			NoData	NoData	NoData	NoData	NoData	Limited Data
Green Level	BOTH		New Distressed Audit	2	1	Limited Data	Limited Data	NoData						1	NoData		NoData		NoData	NoData	NoData	NoData	NoData	Limited Data
Jackson	BOTH		New Distressed Audit	2	1	Limited Data	Limited Data	NoData									1		NoData	NoData	NoData	NoData	NoData	Limited Data
Lucama	BOTH		New Distressed Audit	4	1	Limited Data	Limited Data	NoData						1	1	1	NoData		NoData	NoData	NoData	NoData	NoData	Limited Data
Micro	BOTH		New Distressed Audit	3	1	Limited Data	Limited Data	NoData								1	1		NoData	NoData	NoData	NoData	NoData	Limited Data
Newport	BOTH		New Distressed Audit	1	1	Limited Data	Limited Data	NoData											NoData	NoData	NoData	NoData	NoData	Limited Data
Ramseur	BOTH		New Distressed Audit	4	1	Limited Data	Limited Data	NoData						1		1	1		NoData	NoData	NoData	NoData	NoData	Limited Data
Roxboro	BOTH		New Distressed Audit	2	1	Limited Data	Limited Data	NoData						1					NoData	NoData	NoData	NoData	NoData	Limited Data
Swan Quarter Sanitary District	WW		New Distressed Audit	1	NoData	Limited Data	Limited Data	NoData		1			NoData			NoData			NoData	NoData	NoData	NoData	NoData	Limited Data
Albemarle	BOTH		Notice Letter	9						1	4		1		1	1					1			
Ayden	BOTH		Notice Letter	11	1			1					1	NoData	1		3	On List	2			1	1	
Beulaville	BOTH		Notice Letter	9	1							4	1	1	1	1								
Bridgeton	WW		Notice Letter	9	1		1	1						1		1			2		1	1	1	
Brunswick	BOTH		Notice Letter	9	1		1						1		1	1			2	1		1		
Bryson City	BOTH		Notice Letter	10	1		1			1	4		1			1						1		
Burke County	BOTH		Notice Letter	9	1			1					1	1	1	1			2			1		
Columbia	BOTH		Notice Letter	9	1			1					1				3	On List	2			1		
Denton	BOTH		Notice Letter	10	1					1		4	1	1	1	1								
Farmville	BOTH		Notice Letter	10	1							4	1	1	1							1		1
Grover	BOTH		Notice Letter	11	1			1					1		1		3	On List	2		1	1		1
Harmony	WW		Notice Letter	8	1			1					1			1			2			1		1
Hobgood	BOTH		Notice Letter	12	1							4	1	1	1	1					1	1		
Jamesville	BOTH		Notice Letter	9	1			NoData				4	1	1	1	1			NoData	NoData	NoData	NoData	NoData	Limited Data
Lake Lure	BOTH		Notice Letter	9	1				1		4			1	1	1								
Lansing	BOTH		Notice Letter	10	1								1		1	1			2	1	1	1		1
Lexington	BOTH		Notice Letter	9			1	1					1		1	1			2		1	1		
Liberty	BOTH		Notice Letter	9	1			NoData		1	4		1		1	1			NoData	NoData	NoData	NoData	NoData	Limited Data
Mcdowell County	DW		Notice Letter	8	1		1	1					1		1				2	NoData	NoData	NoData	NoData	Limited Data
Ocracoke Sanitary District	BOTH		Notice Letter	11	1			1					NoData	NoData	1	1	3	On List	2		1	1		
Parkton	BOTH		Notice Letter	9	1			1		1				1	1	1			2			1		
Perquimans County	DW		Notice Letter	12				1				4	1	1	1				2		1	1		
Pittsboro	BOTH		Notice Letter	11	1	1	1	1					1	1	1	1			2		1	1		
Polkton	BOTH		Notice Letter	9	1		1					4	1	1	1	NoData			2		1	1		
Princeton	BOTH		Notice Letter	10	1						4		1		1	1					1	1		
Proctorville	WW		Notice Letter	10	1											1	3	On List	2	1		1	NoData	1
Ranlo	BOTH		Notice Letter	9	1									NoData			3	On List	2	1	1	1		Limited Data
Red Springs	BOTH		Notice Letter	11	1		1	1					1				3	On List	2		1	1		
Roper	BOTH		Notice Letter	11	1			1		1			1		1	1			2		1	1		1
Rosman	BOTH		Notice Letter	11	1			1					1		1	1	3		2			1		
Saratoga	BOTH		Notice Letter	10	1							4	1	1	1	1						1		
Taylorsville	BOTH		Notice Letter	10	1			1								1	3	On List	2		1	1		

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Edgecombe County

Phone: (252) 641-7834

Fax: (252) 641-0456



Post Office Box 10 • 201 Saint Andrew Street
Tarboro, North Carolina 27886

July 1, 2022

Victor A. D'Amato
Supervisor, Viable Utilities Unit
Division of Water Infrastructure

Re: Viable Utility – Potential Distressed Unit

Dear Mr. D'Amato,

We appreciate and support your efforts to recommend Edgecombe County to be designated as a "Distressed Unit". Certainly, with us taking over systems for some of our municipalities and now with the recent loss of QVC due to fire in December of 2021, this program will be very beneficial to the viability of our Water & Sewer system.

If you need additional information, please let me know.

Thank you for your consideration,

A handwritten signature in blue ink, reading "Michael C. Matthews".

Michael C. Matthews
Assistant County Manager
Edgecombe County

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Edgecombe County	BOTH	HOLD		8		LimitedData	LimitedData	NoData				4	1	1	1	1			NoData	NoData	NoData	NoData	NoData	LimitedData
Edgecombe County - July	BOTH	HOLD	Retain Designation	12				1				4	1	1	1	1			2			1		LimitedData

Edgecombe County was originally identified in April for designation as a distressed unit, but when the scores were recalculated to address the data error, Edgecombe County's recalculated score is 7, below the threshold for designation. The County had not submitted their audit data before the deadline of March 1, 2022, so the missing data makes their score artificially low. Now that the audit data is in, the score has been recalculated at 12 points, which is above the threshold for designation.